

2-2004

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Recommended Citation

Hertwig, S., De Sá, R.O. and Haas, A. (2004), Phylogenetic signal and the utility of 12S and 16S mtDNA in frog phylogeny. *Journal of Zoological Systematics and Evolutionary Research*, 42: 2-18. <https://doi.org/10.1111/j.1439-0469.2004.00225.x>

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Phylogenetic signal and the utility of 12S and 16S mtDNA in frog phylogeny

S. HERTWIG¹, R. O. DE SÁ² and A. HAAS¹

Abstract

Genes selected for a phylogenetic study need to contain conserved information that reflects the phylogenetic history at the specific taxonomic level of interest. Mitochondrial ribosomal genes have been used for a wide range of phylogenetic questions in general and in anuran systematics in particular. We checked the plausibility of phylogenetic reconstructions in anurans that were built from commonly used 12S and 16S rRNA gene sequences. For up to 27 species arranged in taxon sets of graded inclusiveness, we inferred phylogenetic hypotheses based on different *a priori* decisions, i.e. choice of alignment method and alignment parameters, including/excluding variable sites, choice of reconstruction algorithm and models of evolution. Alignment methods and parameters, as well as taxon sampling all had notable effects on the results leading to a large number of conflicting topologies. Very few nodes were supported in all of the analyses. Data sets in which fast evolving and ambiguously aligned sites had been excluded performed worse than the complete data sets. There was moderate support for the monophyly of the Discoglossidae, Pelobatoidea, Pelobatidae and Pipidae. The clade Neobatrachia was robustly supported and the intrageneric relationships within *Bombina* and *Discoglossus* were well resolved indicating the usefulness of the genes for relatively recent phylogenetic events. Although 12S and 16S rRNA genes seem to carry some phylogenetic signal of deep (Mesozoic) splitting events the signal was not strong enough to resolve consistently the inter-relationships of major clades within the Anura under varied methods and parameter settings.

Key words: Lissamphibia – Anura – mitochondrial genes – alignment – rRNA

Introduction

Most contemporary studies in frog systematics have readily assimilated molecular techniques or rely exclusively on them. The application of molecular techniques has given stimulating impulses to frog and amphibian phylogenetics (e.g. De Sá and Hillis 1990; Hedges and Maxson 1993; Hillis et al. 1993; Hay et al. 1995; Ruvinsky and Maxson 1996; Graybeal 1997; Feller and Hedges 1998; Richards and Moore 1998; Garcia-Paris and Jockusch 1999; Clough and Summers 2000; Emerson et al. 2000; Vences et al. 2000; Zardoya and Meyer 2001). In particular, fragments of the mitochondrial 12S and 16S genes have been used ubiquitously and continue to be used in frogs, as well as other vertebrate and invertebrate groups (e.g. Mattern and McLennan 2000; Buckley et al. 2002; Leaché and Reeder 2002). Both genes have been applied at various hierarchical levels of frog phylogeny ranging from intrageneric relationships (e.g. Dawood et al. 2002) to the relationships of the major clades within the Anura (e.g. Hay et al. 1995). On the geological time scale these studies address splitting events covering recent Cenozoic times and deep Mesozoic events, respectively (Sanchiz 1998). Controversial views on the resolving power of mitochondrial rRNA sequences were presented early on (Mindell and Honeycutt 1990; Dixon and Hillis 1993). Yet, the usefulness of the genes to address questions specifically in frog evolution at different hierarchical levels has not been demonstrated.

The alignment of homologous positions within orthologous genes is pivotal in phylogenetic studies of nucleotide sequences. Particularly, the alignment of highly divergent non-protein coding sequences such as rRNAs causes problems with regard to the determination of reliable positional homologies (Simon et al. 1994; Lutzoni et al. 2000). The rRNA sequences can vary considerably in length because of numerous insertions and deletions in fast evolving parts of the genes. Maximum parsimony (MP) and maximum likelihood (ML) methods both depend on correctly homologized

positions as represented in the data matrix. The rRNA genes are characterized by regions of highly conserved secondary structure motifs as well as stretches with high rates of sequence evolution (Mindell and Honeycutt 1990; Dixon and Hillis 1993; Simon et al. 1994). In the fast evolving regions indels can cause difficulties in sequence alignments. Different approaches have been proposed to improve the alignment of ambiguously aligned regions: secondary structure-based alignments (Orti et al. 1996; Titus and Frost 1996; Wiens and Reeder 1997), and parsimony-based, or optimization alignments (Wheeler 1996, 1999; Lutzoni et al. 2000; Wheeler 2001). Ambiguously aligned regions have either been excluded altogether from phylogenetic analysis (Gatesy et al. 1993; Leaché and Reeder 2002), coded as missing data, or differentially weighted to reduce the detrimental effect of uncertain positional homologies (e.g. Wheeler et al. 1995; Zardoya and Meyer 2001). These different approaches may lead to inconsistent results in subsequent phylogenetic reconstructions (Vogler and DeSalle 1994; Giribet and Wheeler 1999; Lutzoni et al. 2000).

The phylogenetic signal in 12S and 16S genes with respect to anurans is investigated in this study. The evolution of major clades of frogs presumably took place from 200 to 140 Mio years ago according to the fossil record (Sanchiz 1998). In the light of their long evolutionary history anurans are a good model to test the resolving power of ribosomal genes. Despite many previous studies, large parts of the presumed phylogeny of the Anura are unresolved (Ford and Cannatella 1993) or controversial (Hay et al. 1995). Ascaphids, discoglossids, pipids and pelobatoids are generally considered groups that stem from early splitting events in frog evolution (Sanchiz 1998). The status of the Discoglossidae and Pelobatoidea, however, is uncertain; several alternative phylogenetic hypotheses have been proposed (Ford and Cannatella 1993; Hay et al. 1995; Haas 1997, 2003; Maglia 1998), some based on the genes in question (Hay et al. 1995).

We ask whether these genes can be properly applied over a wide spectrum of evolutionary time to answer questions in frog systematics. As there is no objective criterion to choose between alternative approaches, the effects of various *a priori* decisions of phylogenetic analysis by us on its actual outcome are explored; e.g. the choice of taxa, the alignment method and alignment parameter settings, inclusion/exclusion and weighting, as well as the choice of reconstruction algorithm and models of evolution.

Materials and Methods

Choice of taxa

The set of species examined and the use of species versus higher taxa as terminals in phylogenetic analyses can have tremendous effects on phylogenetic inference (Lecointre et al. 1993; Yeates 1995; Bininda-Emonds et al. 1998; Graybeal 1998; Hillis 1998; Grandcolas and D'Haese 2001). Although single species are commonly used as representatives for species-rich taxa in phylogenetic studies (Hay et al. 1995; Zardoya and Meyer 2000), broader species samples should, in general, lead to more robust hypotheses (Graybeal 1998; Hillis 1998), e.g. amending the problem of long branch attraction (Felsenstein 1978; Swofford et al. 1996). In order to assess the genes' phylogenetic signal for relatively recent splitting events, particularly representatives of the Discoglossidae are used for this study. In Europe, *Alytes*, *Bombina* and *Discoglossus* underwent speciation likely during the Tertiary (Maxson and Szymura 1979, 1984; Sanchiz 1998). Taxa relevant to the issue of the basal branching pattern within the Anuran were combined with them (e.g. archeobatrachians *sensu* Reig 1958). Choice of taxa was inspired by the concept of hierarchical sampling (Graybeal 1993). Accession (GenBank) numbers of sequences examined are summarized in Appendix 1.

In order to explore the effects of taxon sampling on the alignment procedures and the phylogenetic reconstruction three taxon sets were built (see Appendix 2) with graded inclusiveness. Taxon group 1 comprises Dipnoi, *Sphenodon* and Lissamphibia; group 2 includes species representing the Lissamphibia with a caudate, anurans, and a caecilian; whereas group 3 was restricted to discoglossids as ingroup and selected other anurans as outgroup.

Molecular characters and techniques

Muscle tissue of freshly alcohol preserved specimens was excised. Standard proteinase K/PCI. DNA extraction protocols were applied (Maniatis et al. 1982; Hillis et al. 1996). An approximately 400-bp 12S rRNA segment and an approximately 500-bp 16S rRNA segment were amplified using polymerase chain reaction (PCR; Palumbi 1996). Primers were selected according to Goebel et al. (1999): 16S L2a 5'TCGAACTTAGAGATAGCTGGTT3'; 16S H17 5'GCGAATGTT TTTGGTAAACA3'; 12S A-L 5'AACTGGGATTAGATACCCCA CTAT 3'; 12S B-H 5'GAGGGTGACGGGCGGTGTGT3'. These primers correspond to position 2490–2910 (12SrRNA) and 3458–3963 (16SrRNA) of the *Xenopus laevis* mitochondrial genome (Roe et al. 1985; Gen-Bank no.: M10217). The following PCR temperature cycles were found most efficient in a Robocycler Gradient 96 (Stratagene, La Jolla, California). 12S primers: one cycle (3 min/94°C, 1 min/47°C, 1 min/72°C), followed by 35 cycles (1 min/94°C, 1 min/47°C, 1 min/72°C). 16S primers: 1 cycle (3 min/94°C, 45 s/55°C, 1 min/72°C); followed by 5 cycles (1 min/94°C, 45 s/55°C, 1 min/72°C); followed by 30 cycles (1 min/94°C, 45 s/53°C, 1 min/72°C).

We extracted PCR products from agarose gel electrophoresis using two methods. First, excision of gel pieces containing the DNA with subsequent standard PCI/chloroform extraction technique and precipitation (Maniatis et al. 1982; Hillis et al. 1996). Secondly, trapping of PCR products in a PEG (15% polyethylene glycol) filled gel well during electrophoresis (Hillis et al. 1996).

Purified templates were sequenced in both directions with the Thermo Sequenase Cycle Sequencing Kit (Amersham Pharmacia Biotech, Amersham, UK) and 5' IRD800 labelled primers (manufacturer's manual LiCor, Lincoln, Nebraska). Sequences were read with a

Li-Cor 4000 sequencer (LiCor, Lincoln, Nebraska). Forward and reverse raw sequences were matched with BIOEDIT 5.0.6 (Hall 1999) and GENDOC 2.6 (Nicholas and Nicholas 1997) software. Equivocal positions of the consensus sequences were inspected visually and corrected manually using the original chromatogram files. See Appendix 1 for GenBank accession numbers.

To assess the presumed secondary structure of the sequenced gene stretches we computed the folded structure under given thermodynamic parameters using the Mfold energy-minimization method (folding temperature 25°C; Zuker and Stiegler 1981; Jaeger et al. 1990; Mathews et al. 1999). For this approach we used the complete GenBank sequences for both genes in *Ichthyophis bannanicus*, *Rana catesbeiana* and *Xenopus laevis* as reference taxa (Appendix 1).

Alignment

All 12S and 16S rRNA sequence data were combined in a single data matrix and analysed simultaneously. It was assumed that both 12S and 16S fragments, evolved along the same underlying topology (Buckley et al. 2002), because of their common evolutionary fate as parts of the ribosome, as well as the mitochondrial genome. Both 12S and 16S have similar patterns of high among-site rate variation (Simon et al. 1994; Orti et al. 1996).

Two different methods were used for data analyses. First, a one-step procedure (Sankoff and Rousseau 1975; Wheeler 1996) implemented in the software POY 2.0 (Gladstein and Wheeler 1996). It seeks in a combined analysis (via optimization steps of the nucleotide data) for the tree topology, which is based on the most parsimonious alignment under given parameters (Wheeler 2001). Secondly, a two-step procedure of initial alignment with CLUSTAL X and subsequent phylogenetic reconstruction. In order to identify and delimit ambiguous regions, the sequences were aligned four times with CLUSTAL X 1.8.1. (Thompson et al. 1994; Higgins et al. 1996; Thompson et al. 1997) applying four different sets of multiple alignment parameters: Gap Opening Penalty (GOP) 15/Gap Extension Penalty (GEP) 6.6; GOP 10/GEP 5; GOP 20/GEP 5; and GOP 5/GEP 4. Ambiguously aligned positions were identified by eye and excluded manually using BIOEDIT. The remaining alignment (one in each taxon group) is dubbed CLUSTAL X 'CE' herein, 'E' for excluded.

In a second approach, all sites were retained and three alignments with various gap cost were generated using CLUSTAL X (multiple alignment parameters: 'CA': GOP 15/GEP 5; 'CB': GOP 5/GEP 4; 'CC': GOP 20/GEP 5). Separate analyses were run for gap coding as either fifth character state or missing data.

Finally, in addition to the separate analyses of alignments CLUSTAL X CA, CB, and CC, these alignments were concatenated in a single large matrix. In essence, this procedure weighs sites differentially during tree search depending on their variability of positional homology (Wheeler et al. 1995; Lutzoni et al. 2000).

Outputs of the alignment programs were imported and prepared for phylogenetic analyses in MACCLADE 4.0 (Maddison and Maddison 2000).

The CLUSTAL X CA alignment of taxon group 1 was used to compute pairwise distance measures (distance, number of substitutions) with MEGA 2.01 software (Kumar et al. 2001). 'Complete deletion' of gaps option was in effect and the TN (Tamura and Nei 1993) model of sequence evolution was used for calculating distances (parameter determined with MODELTEST 3.06, Posada and Crandall 1998).

Tree reconstruction

Maximum parsimony

The parsimony analyses were performed with PAUP 4.0b8 software (Windows Version; Swofford 1998). Initially equally weighted parsimony was applied. Gaps were coded alternatively as fifth character state, assuming that insertions and deletions also represent informative evolutionary changes (Simmons and Ochoterena 2000; Simmons et al. 2001) or as missing data. The shortest trees were sought by heuristic search method (10 000 random addition replicates, TBR branch swapping, *MulTree* in effect). Bootstrap and jackknife (50% deletion) analyses were performed with 2000 replicates (heuristic search, TBR,

10 random additions respectively) to infer branch robustness (Hedges 1992).

Phylogenetic information of transversions was explored separately by re-coding all characters as pyrimidine or purine bases and gaps as missing data (transversion parsimony, Swofford et al. 1996). Additionally, we used a step matrix for the MP analyses with weights for each transformation step according to their frequency distribution within the data matrix (determined with MODELTEST). Substitution costs applied: transversions 4, purine transition 2, pyrimidine transition 1 and indels 2.

Maximum likelihood

MODELTEST was used to determine parameter settings and models of sequence evolution for the different alignments. The ML analyses were performed with TREE-PUZZLE 5.0 (Strimmer and von Haeseler 1996). It uses the heuristic quartet-puzzling algorithm to compute likelihood trees. The TN and the HKY85 (Hasegawa et al. 1985) models were selected in separate analyses of each aligned data set, because the GTR model (general time reversible; Lanave et al. 1984; Rodriguez et al. 1990; Yang 1994) is not implemented in TREE-PUZZLE. Parameters of the models of sequence evolution and rate heterogeneity were estimated by TREE-PUZZLE based on a neighbour-joining tree and the exact likelihood function. The number of replicates of the quartet-puzzling algorithm was set to 10 000. We selected a model of among-site rate heterogeneity of substitutions that consisted of one invariable rate and eight variable rates with gamma distribution.

Bayesian approach

The Bayesian methodology as implemented in MRBAYES 2.01 (Huelsenbeck 2000) estimates the posterior probabilities of the best set of trees for a given model of sequence evolution (Rannala and Yang 1996; Yang and Rannala 1997; Huelsenbeck and Ronquist 2001). The GTR model with eight classes of substitution rates and gamma distribution (GTR + I + gamma) was used; model parameters were estimated by MRBAYES. Analyses were initiated with random starting trees. Additional settings following Huelsenbeck et al. (MRBAYES documentation): program estimated base frequencies; 500 000 generations with four independent Markov Chains were started; every 100th generated topology was saved; the first 500 generated topologies were excluded from the final 50% majority rule consensus tree. In the output files it was controlled whether the Markov chains had become stationary for their log-likelihood scores with 1000 samples and excluded further topologies if necessary. In a further search the parameters were set identical to those of TREE-PUZZLE runs to compare the results of both methods. For that approach, the CLUSTAL X alignment without variable aligned positions and the HKY85 model were used.

One-step analysis with POY

In POY's optimization alignment approach (Wheeler 1996), alignment of sequences and tree reconstruction are performed simultaneously. Commands followed Gladstein and Wheeler (1996): (i) *Diagnose* (prints branch length and apomorphy list derived from a search), (ii) *Impliedalignment* (generates a topology specific multiple alignment based on the synapomorphy scheme), (iii) *Random 100* (causes 100 random addition sequence searches (build through swapping) to be performed), (iv) *Multibuild 10* (makes 10 random addition sequence builds on slave nodes, the best ones are submitted to branch swapping), (v) *Maxtrees 5* (set maximum trees held in buffers to five), (vi) *Slop 2* (check all tree length which are within 'n' 10th of a per cent of the current minimum value), (vii) *Checkslop 5* (checks all tree lengths that are within 'n' 10th of a per cent of the current minimum length using an additional tbr branch swapping round), (viii) *Tbr* (tbr branch swapping), (ix) *Nospr* (suppresses spr branch swapping), (x) *Randomizeoutgroup* (randomize the outgroup in (iii) *Random* and (iv) *Multibuild*), and (xi) *Quick* (branch swapping only on minimal-length trees, analogous to *-steepest descent* in PAUP). Gap costs were set to 1, 2, 4 and 8, respectively. Furthermore, the jackboot routines of POY (Gladstein and Wheeler 1996) were used: *-Jackboot -Random 200 -Quick -Randomizeoutgroup -Maxtrees 10 -Tbr -Nospr*; 50% majority rule consensus trees were computed with CONSENSE in PHYLIP 3.6 (Felsenstein 1989).

Results of analyses were plotted with TREEVIEW 1.61 (Page 1996).

Results

Secondary structure

Comparisons of the complete 12SrRNA sequences of *Ichthyophis bannanicus*, *Rana catesbeiana* and *Xenopus laevis* (GenBank) showed that differences in the primary structure entail significant differences in the putative secondary structure as inferred from computer folding models. Few positions form highly conserved homologous motifs of the secondary structure in the three taxa. At various sites the computer models reconstructed unpaired bases within conserved regions that otherwise form predominantly helical stems. Some of the paired stem and the unpaired loop regions were shifted in position related to changes in the primary structure. Comparison of these three taxa alone, thus, did not support the notion that secondary structure of rRNA is largely fixed in taxa with highly divergent primary sequences. Furthermore, changes of folding temperature (20, 30°C) in separate runs resulted in different models of secondary structure.

Alignments

The various alignment procedures resulted in data matrices that differed with regard to positional homology hypotheses, the number of variable characters, and the number of parsimony informative characters. The comparison is summarized in Tables 1 and 2. Varying the alignment parameters (gap cost) in each of the alignment procedures gave different and unique alignments. Each of the gap cost regimes applied in CLUSTAL X, e.g. yielded alternative primary homology hypotheses that resulted in different phylogenetic reconstructions. The same effect was evident in the comparison of the implied alignments of POY searches under different parameters. Taxon sampling also had a significant effect of on the outcome of alignments as detailed in Tables 1 and 2.

Congruent nodes in multiple analyses

We sought for nodes with universal support (Tables 3–5) in the n-dimensional space of solutions (Wheeler 1995; Phillips et al. 2000) from all analyses. In all cases, genera represented by more than one species were recovered as monophyletic entities with high support values (*Alytes*, *Bombina*, *Discoglossus*, *Limnodynastes*, *Pelodytes*, *Rana*). The node *Limnodynastes* + *Rana* (representatives of Neobatrachia) was well supported in all analyses. Within discoglossid frogs, methods that resolved intrageneric relationships consistently supported the clades [*Discoglossus montalentii* + (*D. galganoi* + *D. pic-*

Table 1. Alignments with CLUSTAL X. CA, CB and CC represent alignments under different gap cost schemes (see text for further information)

G	CA			CB			CC			CE		
	tp	vp	ip									
1	928	689	573	996	748	589	941	666	551	485	270	199
2	915	643	516	953	672	524	913	644	522	542	293	205
3	878	415	308	882	406	302	876	424	312	689	249	166

CE, the alignment without the ambiguously aligned positions. Characterization of the differences: tp, total number of positions of a given alignment; vp, number of variable positions; ip, number of parsimony informative positions (tested in PAUP).

Table 2. Implied alignments of POY (IAP), tested with PAUP. OA GC 1, 2, 4, 8: optimization alignment with gap cost 1, 2, 4, 8

Alignment	Group 1			Group 2			Group 3		
	tp	vp	ip	tp	vp	ip	tp	vp	ip
OA GC 1	1215	981	642	1090	810	568	941	447	304
OA GC 2	1064	843	587	980	710	524	906	431	307
OA GC 2	1065	845	589						
OA GC 4	1009	845	616	955	722	535	904	434	313
OA GC 4							903	431	311
OA GC 8	983	833	655	949	736	592	901	468	337

Characterization of the differences: tp, total number of positions of a given alignment (homology lines); vp, number of variable positions; ip, number of parsimony informative positions (tested in PAUP). Note, that this table contains only implied alignments, which differed in number of homology lines from alignments of equal parsimonious solutions. With gap cost two and group 1 as well as with gap cost four and group 3 more than one alignment based on the same most parsimonious topology was found.

tus + *D. sardus*)] and *Bombina bombina* + *B. variegata*. The signal for *Alytes* + *Bombina* in species groups 1 and 2 was weak. The monophyly of the Pelobatoidea, Pipidae and Discoglossidae was suggested in results from multiple parameter settings and analysis methods. Similarly, within the Pelobatoidea the family Pelobatidae (*s. str.*, i.e. *Pelobates* + *Leptobranchium*) was supported.

In all analyses of taxa group 2 the monophyly of the Anura was robustly supported (Table 4). Yet, analyses of both groups 1 and 2 resulted in numerous conflicting hypotheses of relationships concerning the major anuran clades (deep splits). Nodes connecting major clades were mostly weakly supported in robustness tests or by likelihood values. For example, the relationships of *Ascaphus* appear completely undetermined by these data sets. Its position in the respective topology was highly sensitive to choice of parameters for alignment as well as reconstruction method (ML, MP).

In the two-step procedures, fewer conflicting topologies were derived for species group 3 (discoglossids, *Ascaphus*, *Pelodytes*) than for the two more inclusive taxon samples, probably due to the exclusion of highly divergent sequences in some of their species. In almost all group 3 analyses (except for POY, gap cost 4 and 8), the Discoglossidae was monophyletic and the genus *Discoglossus* was the sister-group of *Alytes* + *Bombina* (Fig. 2, Table 5).

The robustness of these phylogenetic hypotheses (group 3) was assessed by changing the composition of the outgroup. In two sets of analyses *Pelodytes caucasicus* was replaced by either *Xenopus* or *Pelobates* and combined with *Ascaphus* as outgroup. Three CLUSTAL X alignments were performed (parameters as in CA, CB, CC) for each of the outgroup changes and subjected to MP PAUP analyses. In comparison with the original group 3 analyses, some nodes were sensitive to the composition of the outgroup. With *Ascaphus* and *Xenopus* as outgroup, the bootstrap values supporting the Discoglossidae (Table 7) were lower; furthermore, the relationships between *Alytes*, *Bombina* and *Discoglossus* were unresolved. Discoglossidae was monophyletic in only one of the three cases (CA, CB, CC) and the node *Alytes* + *Bombina* received substantially lower support than in original group 3 analyses (Table 7), whereas with *Ascaphus* and *Pelobates* as outgroup.

Reconstruction with POY

Each of the 12 searches with POY found most parsimonious solutions with different topologies and different implied alignments. The jackboot test of POY supported only few

nodes robustly in taxon groups 1 and 2, and some of the group 3 analyses (Tables 3–5).

For taxon group 1, gap cost 1 and 2 gave topologies that differed only in the alternative nodes Archaeobatrachia and Neobatrachia + Pipidae (Table 3). Most of the nodes of these topologies were congruent with other results. In contrast, gap cost 4 and 8 resulted in highly implausible nodes, e.g. *Lepidosiren* + *Ambystoma* + *Ichthyophis* as sister group of the Neobatrachia or *Alytes* + [Neobatrachia + (*Lepidosiren* + *Ambystoma* + *Ichthyophis*)].

For taxon group 2, the different gap cost settings each resulted in one most parsimonious solution. Among them, the topology based on gap cost 4 had more nodes congruent with two-step analyses (Table 4) than the other gap cost settings. Among other results, gap cost 1 suggested the clade *Discoglossus* + (*Ascaphus* + Pelobatoidea), whereas with gap cost set to 2, *Ascaphus* was resolved within discoglossids [*Ascaphus* + (*Alytes* + *Discoglossus*)], and, finally for gap cost 8 the clade *Ascaphus* + Pipidae was the sister-group of *Bombina* + Pelobatoidea.

Finally, in taxon group 3 analyses, each of gap cost 1 and 2 gave only one most parsimonious tree, both of identical topology (Table 5). Yet, six different minimum length trees were found in searches with gap cost set to 4, the resulting strict consensus was highly unresolved. Only two shortest topologies were found with gap cost setting 8. In their consensus, the Discoglossidae were paraphyletic [*Pelodytes* + (*Alytes* + *Discoglossus*)] at the exclusion of *Bombina*.

Maximum parsimony PAUP

PAUP. Alignment parameters in CLUSTAL X had a clear effect on the resulting topologies when ambiguously aligned positions and gaps were included in the data matrix. The resulting topologies of these alignments contained conflicting and weakly supported hypotheses of anuran relationships with respect to deep splits in particular. Yet, certain subclades (Fig. 3, Tables 4 and 5) were highly supported in consensus trees. Nodes resolved under MP phylogenetic reconstructions were mostly robust, no matter if gaps were coded as additional fifth character state or as missing data.

The concatenated alignments CABG resulted in increased resolution and higher node support in comparison with analyses dealing with the three alignments separately. In MP analyses of group 1, the combined alignment resulted in 90 minimal-length trees. Their 50% majority rule consensus tree was highly resolved, with early all of the nodes having high

Table 3. Selected clades and their support in the analyses of taxa group 1

Analysis	Lissamphibia	Anura	Archeobatrachia	Discoglossidae	<i>Alytes + Bombina</i>	<i>Alytes + Discoglossus</i>	Pipidae	Pelobatidea	Pelobatidae	Pelobatide + Pelodytidae	Pelobatide + Scaphiropodidae	Pelodytidae + Scaphiropodidae	Neobatrachia	Neobatrachia + Pipidae
<i>MP</i>														
CA	62	99	69	98	74	95	89	92					100	
CB	94	100		78	82		98	96	98			72	100	
CC	69	99	76	73	61		97	97	97		74		100	
CABC	97	100	91	92	88		100	99	100	68			100	
CA	75	99		64	74		93	84	93				98	
CB	92	92		72	76		87	87	98			64	99	
CC	93	99	63	70	82		93	97	97	65			99	
CE				70	81								96	
CE							99							95
CE				57	72									
<i>POY</i>														
POY	+	+	+	+	+		+	+	+	+	+		+	+
POY	+	+		+	+		+	+	+	+	+		+	+
POY						+	+	+	+	+	+		58	+
POY													71	+
POY													58	58
POY														
<i>MrBAYES</i>														
MB CA	50	100		91	100		98	100	100	97			100	90
MB CB	100	100		87	98		100	100	100			99	100	
MB CC	100	100	62		99		100	100	100	95			100	
MB CABC	100	100	65	100	100		100	100	100	99			100	
GTR														
MB CE				96	98		53						100	72
MB CE				92	97								100	100
<i>TREE-PUZZLE</i>														
TP CA	89	85			61		94		74			65	66	
TP CB	73	55			71		98	77	96			85	91	
TP CC	91	83			66		95		73			65	78	
TP CABC	95	81			70		96	56	79			79	81	
TP CE				53	73		94						63	
TP CE				55	76		93						62	

Numbers represent bootstrap values in parsimony (PAUP, POY), likelihood values of TREE-PUZZLE and posterior probabilities of MrBAYES topologies. Abbreviations: CA, CB, CC, CLUSTAL X alignments under different gap cost; CABC, fusion of CA, CB, CC into a single matrix; CE, CLUSTAL X alignment (ambiguously aligned positions excluded); GC, gap cost; MB, Bayesian analysis; MD, gaps coded as missing data; SM, differential weighting with step matrix; TP, maximum likelihood analysis with TREE-PUZZLE; TV, transversion parsimony; GTR, HKY85, TN are models of sequence evolution. Nodes found in the strict consensus topologies of POY searches are marked by "+".

Table 4. Selected clades and their support derived from the analyses of species group 2

Analysis	Anura	Archeoba- trachia	Discogloss- idae	<i>Alytes</i> - <i>Bombina</i>	<i>Alytes</i> + <i>Discoglossus</i>	Pipidae	Pelobato- idea	Pelobatidae	Pelobatidae+ Pelodytidae	Pelobatidae+ Scaphiropodidae	Pelodytidae+ Scaphiropodidae	Neobatrachia	Neobatrachia + Pipidae
<i>MP</i>													
CA	99		97		63	91	93	94		65		100	
CB	100		99		62	93	71	82			75	100	50
CC	100		88		74	92	97	96		79		100	
RCA	100		64	75		94	90	95		75		100	
RCB	100	70	79	81		98	95	97		70		100	
RCC	100	61	70	61		96	96	95		51		100	
CABC	100		100		78	100	97	99		58		100	
CA MD	100		68		55	81	95	90		69		100	
CB MD	100		89		51	81	51	79				99	
CC MD	100		77		65	87	95	95				93	
CE	87		67	73			53					96	
CE TV	86							57				98	
CE SM	92			60									
<i>POY</i>													
POY GC 1	+	+		+		+	+	+		+		+	+
	58											54	
POY GC 2	+				+	+	+	+		+		+	+
POY GC 4	+	+	+	+		+	+	+		+		+	+
POY GC 8	+	+				+	+	+		+		+	+
<i>MrBAYES</i>													
MB CA	100		97	75		100	100	100		86		100	
MB CB	100		100	87		100	100	100			87	100	
MB CC	100				96	100	100	100		77		100	68
MB CABC	100		100	97		100	100	100		95		100	100
MB CE	57		73	77		64	64	93			84	100	
MB CE HKY85	53		63	67		54	54	67			71	100	
<i>ML</i>													
<i>TREE-PUZZLE</i>													
TP CA	80		59			88	73	90				76	
TP CB	90		94		64	97	82	82				75	
TP CC	86		62		70	90	60	81				76	
TP CABC	93		86	70		97	74	74				71	
TP CE	66			61		88	77	77				54	
TP CE HKY85	68			61		88	78	78					

Numbers represent bootstrap values in parsimony (PAUP, POY), and neighbour joining (PAUP) analyses, as well as likelihood values of TREE-PUZZLE and posterior probabilities of MRBAYES topologies. Reconstructed nodes in the most parsimonious trees are represented by + CA, CB, CC, CLUSTAL X alignments under different gap cost; CABC: fusion of CA, CB, CC into a single matrix; CE, CLUSTAL X alignment (ambiguously aligned positions excluded); GC, gap cost; MB, Bayesian analysis; MD, gaps coded as missing data; RCA, RCB, RCC, post-alignment reduced samples; SM, differential weighting with step matrix; TP, maximum likelihood analysis with TREE-PUZZLE; TV, transversion parsimony; GTR, HKY85, TN models of sequence evolution.

Table 5. Selected clades and their support from analyses of species group 3

Analysis	Discoglossidae	<i>Alytes</i> + <i>Bombina</i>	<i>Alytes</i>	<i>Bombina</i>	<i>Discoglossus</i>	<i>D. pictus</i> + <i>D. sardus</i>	<i>D. galganoi</i> + <i>D. sardus</i>	<i>D. sardus</i> + <i>D. pictus</i>	<i>D. galganoi</i> + <i>D. sardus</i>	<i>B. orientalis</i> + [<i>B. bombina</i> + <i>B. variegata</i>]	<i>B. bombina</i> + <i>B. variegata</i>
<i>MP</i>											
CA	BS 95	94	100	100	100	99	61	65	99	65	88
	JK 94	94	100	100	100	100	63	65	100	65	87
CB	BS 99	99	100	100	100	100	70	79	100	79	76
	JK 99	99	100	100	100	100	71	78	100	78	75
CC	BS 98	97	100	100	100	99	52	67	99	67	88
	JK 99	98	100	100	100	99	53	64	100	64	88
CABC	100	97	100	100	100	100	67	71	100	71	96
JK	100	97	100	100	100	100	67	72	100	72	96
CE	BS 82	88	100	100	100	99	50		99		61
	JK 80	88	100	100	99	99			99		61
CE TV		61	100	100	97	55			55		81
	JK 63	63	100	100	97						62
CE SM	BS 59	74	100	100	100	98	50		98		68
	JK 60	73	100	100	99	97	52		97		67
POY GC 1	+	+	+	+	+	+	+	+	+	+	+
	JB 63	+	100	100	93	78	51	+	78	+	+
POY GC 2	+	+	+	+	+	+	+	+	+	+	+
	JB 100	+	100	100	96	83	+	60	83	60	61
POY GC 4											
	JB 100	+	100	100	91	78	54	+	78	65	68
POY GC 8		+	+	+	71	+	+	+	+	+	58
	JB 100	100	100	100	71	69		68	69		
<i>MrBAYES</i>											
MB CA	GTR 87	99	100	100	100	100	96		100		90
MB CB	GTR 100	100	100	100	100	100	99		100		96
MB CC	GTR 85	100	100	100	100	98	95	52	98	52	92
MB CABC	GTR 100	100	100	100	100	100	100	65	100	65	100
MB CE	GTR 95	68	100	100	100	100	88		100		85
MB CE HKY 85	GTR 94	67	100	100	100	100	67		100		85
<i>TREE-PUZZLE</i>											
TP CA	GTR 94	98	100	100	100	100	70	64	100	64	79
TP CB	GTR 100	100	100	100	100	100		50	100	50	78
TP CC	GTR 93	100	100	100	100	100	67	52	100	52	83
TP CABC	GTR 100	100	100	100	100	100	75	57	100	57	95
TP CE HKY 85	GTR 95	95	100	100	100	100	52		100		76
TP CE TN	GTR 92	95	100	100	100	100	52		100		76

Numbers represent bootstrap (BS), jackknife (JK), and jackboot (JB) values in parsimony (PAUP, POY) analyses, as well as likelihood values of TREE-PUZZLE and posterior probabilities of MrBAYES topologies CA, CB, CC, CLUSTAL X alignments under different gap costs; fusion of CA, CB, CC into a single matrix; CE, CLUSTAL X alignment (ambiguously aligned positions excluded); GC, gap cost; MB, Bayesian analysis; MD, gaps coded as missing data; SM, differential weighting with step matrix; TP, maximum likelihood analysis with TREE-PUZZLE; TV, transversion parsimony; GTR, HKY 85, TN, models of sequence evolution. Nodes found in the strict consensus topologies of POY searches are marked by '+ +'.

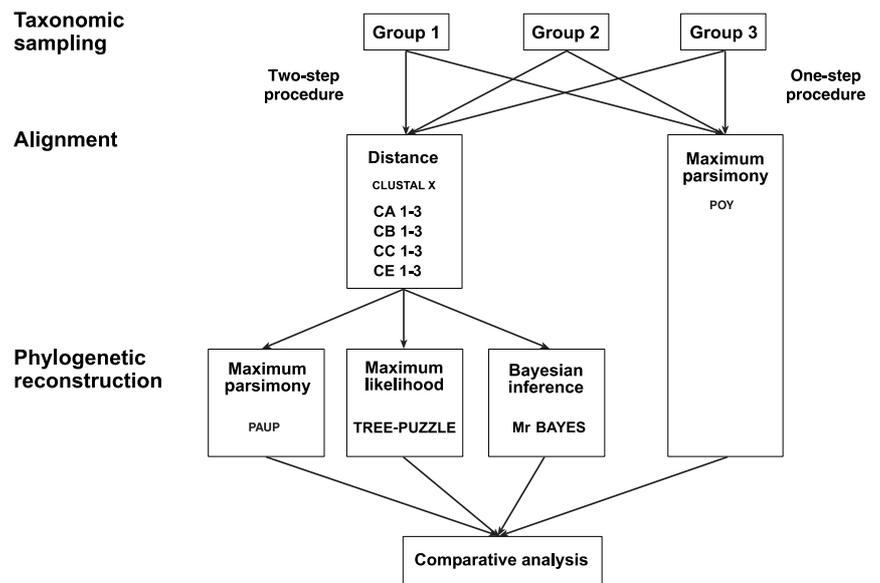


Fig. 1. Study design and methods applied

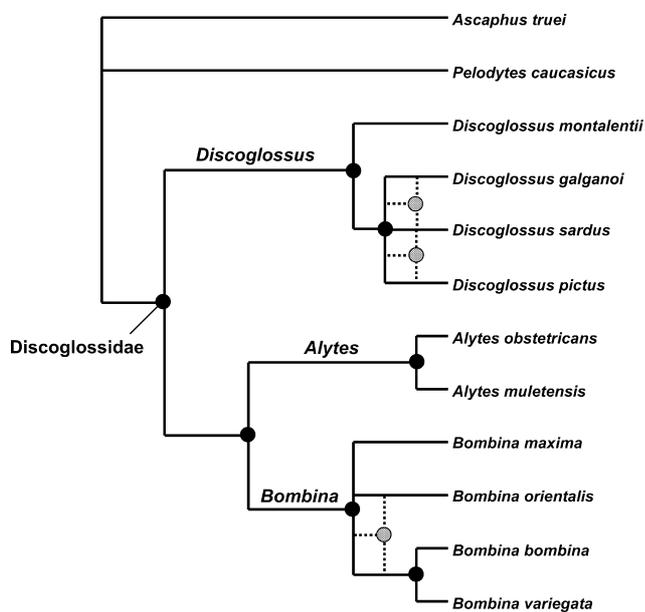


Fig. 2. For species group 3, all analyses reconstructed largely identical topologies, except for alternative subclades indicated by grey nodes and dashed lines

bootstrap support (Table 3). The search with group 2 yielded 109 equally parsimonious trees with less-resolved consensus and bootstrap trees. Yet, those consensus nodes found had high support values (Table 4).

The exclusion of ambiguously aligned positions not only reduced the number of informative characters (Table 1), but also led to low plausibility of the reconstructed topologies in all analyses of groups 1 and 2. In all iterations of CLUSTAL X alignments the excision of ambiguously aligned positions increased the number of conflicting trees and reduced the resolution in majority rule bootstrap topologies. MP analysis of species group 1 found seven minimum-length trees (ambiguous excluded). Their strict consensus topology contained arrangements in stark conflict with well-established phylogenetic hypotheses, e.g. reconstructing a paraphyletic Anura, a

Table 6. Proportion of unresolved quartets in the ML analyses with TREE-PUZZLE (see text). Tree searches under HKY85 model were performed only with CLUSTAL X alignment CE (ambiguous positions excluded)

Data set	HKY85	TN
Group 1		
CA		12.8
CB		10.7
CC		10.8
CABC		2.7
CE	14.0	14.2
Group 2		
CA		15.3
CB		9.3
CC		12.6
CABC		3.3
CE	12.5	13.5
Group 3		
CA		6.5
CB		5.1
CC		5.5
CABC		1.8
CE	9.3	8.7

clade consisting of *Sphenodon* + *Leptobrachium* positioned within the Anura, and a sister-group relationship of *Ascaphus* + Neobatrachia. In analyses of species group 2 one most parsimonious tree was found (monophyletic Archaeobatrachia, *Ascaphus* + Pelobatoidea). The support for deep divergence events in these alignments was weak.

Overall, the lower rate of transversions should lead to better resolution of deep divergence events because of low saturation effects. Yet, when using transversions alone, we obtained consensus trees with reduced resolution in bootstrapping (no intrageneric resolution) and highly unlikely topologies (*Sphenodon* + *Leptobrachium* and *Ascaphus* + *Scaphiopus* within the Pelobatoidea). Differential weighting of transformations (step-matrix) within the species group 1 data set resulted in slightly increased numbers of resolved nodes with > 50% bootstrap and jackknife support. Yet, the most parsimonious topologies were similar to equally weighted parsimony and in conflict with

Table 7. Selected clades and their support in the analyses of species group 3 (*Alytes*, *Bombina*, *Discoglossus*) and alternative outgroup composition. Numbers are bootstrap support values in parsimony (PAUP) analyses

Analysis	<i>Discoglossidae</i>	<i>Alytes</i> + <i>Bombina</i>	<i>Alytes</i> + <i>Discoglossus</i>	<i>Alytes</i>	<i>Bombina</i>	<i>Discoglossus</i>	<i>D.galganoi</i> + <i>D.pictus</i> + <i>D.sardus</i>	<i>D.sardus</i> + <i>D.pictus</i>	<i>B.orientalis</i> + (<i>B.bombina</i> + <i>B.variegata</i>)	<i>B.bombina</i> + <i>B.variegata</i>
<i>Ascaphus, Pelodytes</i>										
CA	95	94		100	100	100	99	61	65	88
CB	99	99		100	100	100	100	70	79	76
CC	98	97		100	100	100	99	52	67	88
CABC	100	97		100	100	100	100	67	71	96
<i>Ascaphus, Xenopus</i>										
CA	68			100	100	100	100	59	56	82
CB	75			100	100	100	99	51	58	73
CC	64			100	100	100	100	63	56	82
CABC	89		50	100	100	100	100	61	61	96
<i>Pelobates, Ascaphus</i>										
CA	54			100	100	100	100	57	66	79
CB	71	69		100	100	100	98	51	55	74
CC		53		100	100	100	100	58	65	77
CABC	63	70		100	100	100	100	56	74	94

CA, CB, CC, CLUSTAL X alignments under different gap costs; CABC, fusion of CA, CB, CC into a single concatenated matrix.

well-established hypotheses of amphibian relationships. In species group 2, differential weighting caused lower resolution in the test procedures as compared with equal weights.

Influence of taxon sampling on alignment and phylogenetic reconstruction

We tested the influence of taxon sampling by comparing prealignment taxon exclusion to postalignment taxon exclusion. The first case simply equals group 2 and group 3 analyses. The second case was prepared by aligning group 1 data and subsequently reducing the species to match the species composition of groups 2 and 3. We used CLUSTAL X alignments (CA, CB, CC) and analysed the data with MP in PAUP under described parameters (heuristic search, bootstrapping). The results of group 2 derived from the two approaches were clearly different (Table 4). Some nodes were highly sensitive to taxon sampling, e.g. Archaeobatrachia, and the topology within the Discoglossidae. In group 3, the comparison between the topologies of the postalignment reduction and initial group 3 alignments yielded no differences in the consensus topology, yet, node support (bootstrap) for *Alytes* + *Bombina* dropped from 94–99 to 62–74, respectively.

Maximum likelihood

We used the CLUSTAL X alignments CA, CB, CC, CABC and CE, respectively, as data matrices for TREE-PUZZLE and MRBAYES tree searches.

TREE-PUZZLE. In TREE-PUZZLE branch support values > 70% were considered robust. Only nodes with support > 50% were considered and shown in the resulting tree topologies. TREE-PUZZLE also computes the percentage of unresolved quartets. This percentage is an indicator for the suitability of the data for the explored phylogenetic problem (Strimmer and von Haeseler, TREE-PUZZLE Manual).

The relatively high percentages of unresolved quartets in taxa groups 1 and 2 (Table 6) seem to indicate that the sequence data and parameters applied were not appropriate to resolve the phylogenetic problem. The ratio of unresolved quartets was lower and the obtained trees were more resolved with alignments derived from the smallest taxa set, group 3. The lowest proportions of unresolved quartets were determined in the concatenated alignments CABC from all groups.

The topologies reconstructed by TREE-PUZZLE from CLUSTAL X alignments under HKY85 and TN models were identical. Only the branch support values of internal nodes differed slightly. Furthermore, the quartet puzzling trees of these alignments of species groups 1 and 2 were highly polytomous (Tables 3 and 4). Using the CLUSTAL X alignments CE some of the few reconstructed nodes based on the species group 1 were considered highly unlikely (paraphyletic Amphibia, *Sphenodon* + *Leptobranchium*, located within the anura, are monophyletic).

MRBAYES

The topology derived from CLUSTAL X CE alignments (ambiguous sites excluded) of group 1 was implausible (Anura paraphyletic and a clade *Sphenodon* + *Leptobranchium* within the Pelobatoidea with high support). The consensus topology derived from species group 2 was not resolved with regard to anuran higher clades (Fig. 4). When CLUSTAL X alignments (CA, CB, CC, CABC, ambiguous sites included) were analysed with Bayesian inference method, the majority rule consensus

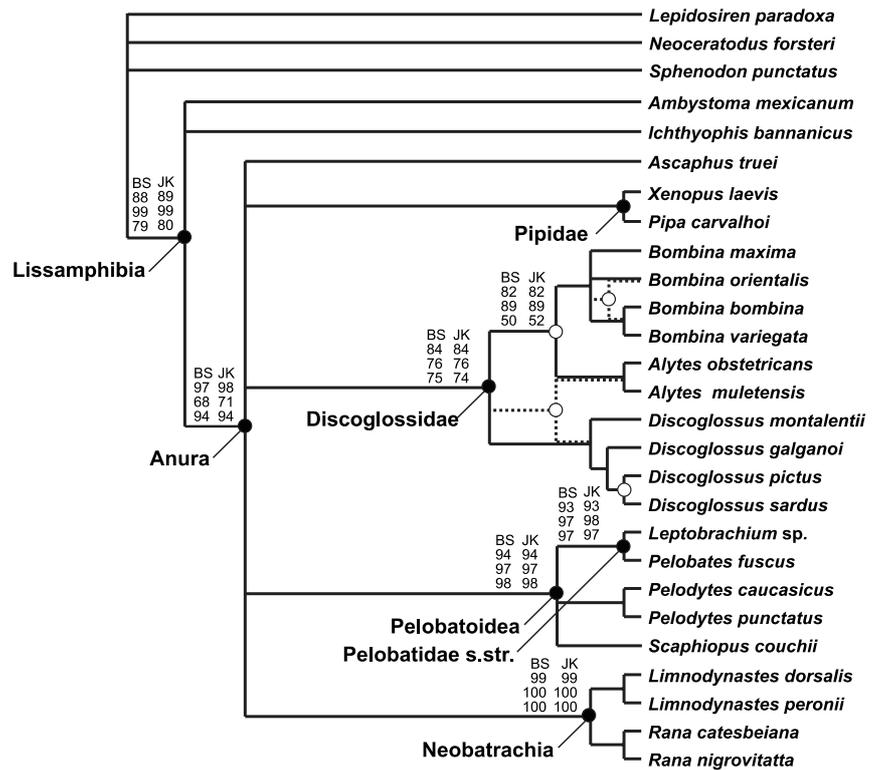


Fig. 3. Summary of the MP analyses based on CLUSTAL X alignments (CA, CB, CC) of species group 1 under different gap costs. Majority rule tree from bootstrap (BS) and jack-knife (JK) runs. The strict consensus of all most-parsimonious trees and the consensus tree from jackknife analyses were identical in topology. White nodes and dashed lines indicate those alternative arrangements in which analyses of species group 2 deviated from analyses of group 1

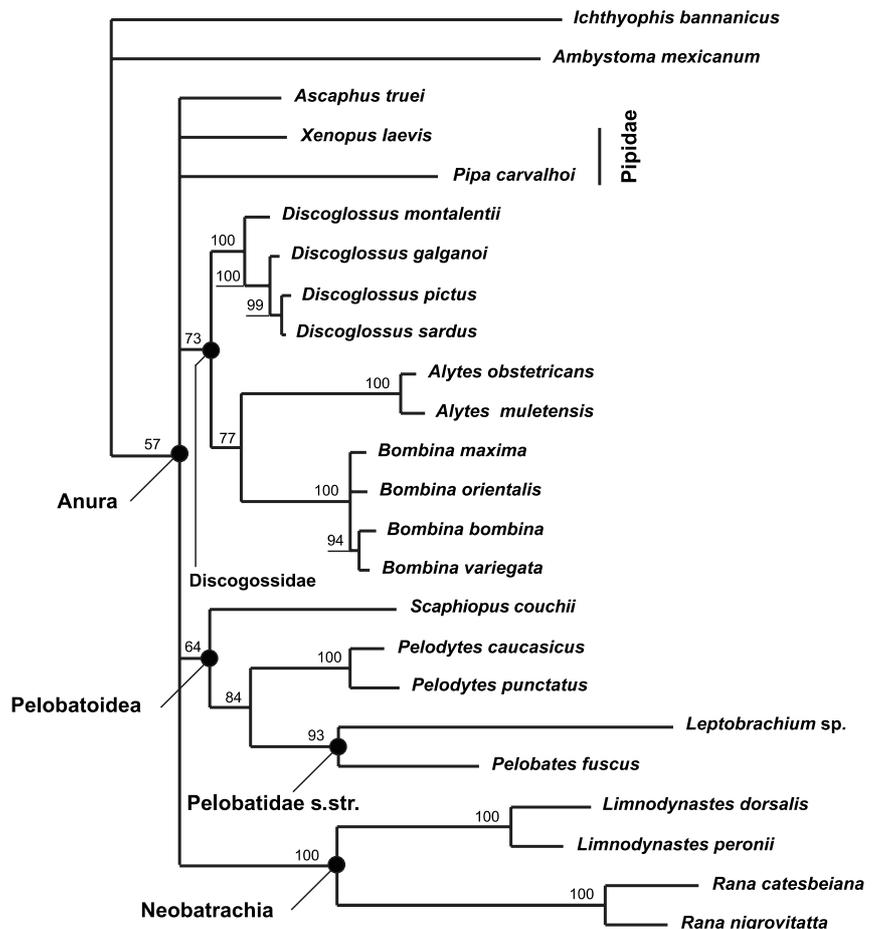


Fig. 4. Majority rule consensus tree of 4500 generated topologies of the Bayesian analysis (MRBAYES) of CLUSTAL X alignment (ambiguously aligned positions excluded); species group 2

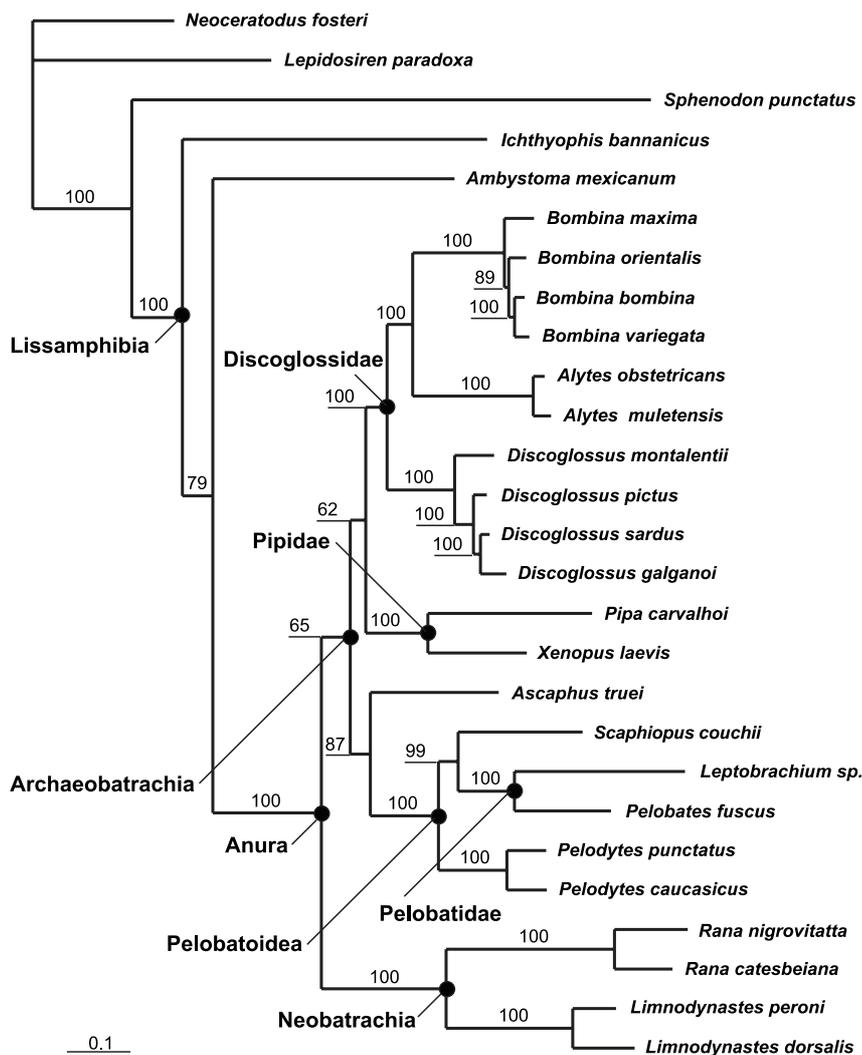


Fig. 5. Majority rule consensus tree of 4500 generated topologies of the Bayesian analysis (MRBAYES) of alignment CABC (CLUSTAL X, concatenated alignments CA, CB, CC); species group 1

trees of groups 1 and 2, respectively, showed considerably more resolved nodes and higher support values (Fig. 5, Tables 3 and 4).

Quartet puzzling resolved a lower number of nodes as compared with Bayesian analyses. The former seemed more conservative in reconstructing nodes from weak phylogenetic signal. Yet, the resulting topologies from both methods were similar for most of their robust nodes. In both TREE-PUZZLE and MRBAYES, the choice of a sequence evolution model (GTR or HKY 85 in MRBAYES, TN or HKY 85 in TREE-PUZZLE) had only minor effects on node support (Table 3–5), without changing the topologies.

Discussion

Secondary structure

RNA secondary structure has been used in different steps of phylogenetic studies (Wheeler and Honeycutt 1988; Simon et al. 1994; Kjer 1995; Orti and Meyer 1997). The phylogenetic approach of inferring secondary structure has been applied repeatedly (de Sá and Hillis 1990; Dixon and Hillis 1993; Alves-Gomes et al. 1995; Kjer 1995; Titus and Frost 1996; Orti and Meyer 1997; Kjer et al. 2001). In this procedure the inference of potential secondary structure motifs depends on the recognition of similarity in the primary structure

(Armbruster 2001; Shull et al. 2001). If secondary structure was largely fixed across even distantly related taxa, it could potentially serve as a template for alignments (Kjer 1995; Hancock and Vogler 2000). Yet, there is a high degree of uncertainty about the errors caused by comparing distantly related species with very different primary structures. Mutational processes such as slippage could be agnostic with respect to secondary structure. Also, substitutions within helical stems may not necessarily require a compensatory substitution in the complementary base, if compensated by a shift from a stem to a loop motif (Hancock and Vogler 2000). In contrast to the phylogenetic approach, computer models for RNA folding according to minimized free energy are methodologically independent from alignment. Yet, the real secondary structure may still deviate from the minimal free energy model because of other unaccounted constraints (Zuker and Stiegler 1981; Severini et al. 1996). Different energy optimization algorithms may compute different secondary structures from the same data and rely on unrealistic fixed temperatures (Armbruster 2001). As a result of considerably variation in the primary structures of the three model species (*Xenopus laevis*, *Rana catesbeiana*, *Ichthyophis bannanicus*) and temperature dependent variation of models, our computations yielded no reliable secondary structures that were usable for the improvement of alignments or differential weighting schemes.

Alignment of ribosomal genes

Highly variable rRNA regions cause sequence length differences (Indels) among taxa and require gap insertions in alignments. The scope of taxon sampling directly influences the alignment process. Our results, among others (e.g. Wägele and Staniek 1995), indicate the significant influence alignments of ribosomal sequence data have on the results of subsequent phylogenetic reconstructions. Numerous nodes in our topologies were highly sensitive to choice of alignment parameters and methods. In two-step procedures (Fig. 1) and for a given alignment, different reconstruction methods yielded similar topologies that also had comparable bootstrap and likelihood support. The robustness of the phylogenetic signal depended first and foremost on the primary homology hypotheses of nucleotide positions. The reduced taxon sample in group 3 allowed alignment with low ambiguity and yielded topologies with few conflicts, whereas substantial conflicts in topologies prevailed in the larger taxon sets stemming from more ambiguities in their alignments. Furthermore, different reconstruction methods (MP, ML) found the same unlikely nodes, e.g. *Sphenodon* + *Leptobrachium*, *Ascaphus* + *Pelobatoidea*, when the same ambiguous alignment was put in.

The exclusion of gap sites and the coding of gaps as missing data have been discussed as solutions to the dilemma of ambiguously aligned positions (Lutzoni et al. 2000; Cognato and Vogler 2001). Coding gaps as missing data does not solve the more fundamental problem of ambiguous positions, because positional homology remains uncertain (Lutzoni et al. 2000). Also, gaps are a class of potentially informative characters states (Giribet and Wheeler 1999; Lutzoni et al. 2000; Simmons and Ochoterena 2000; Simmons et al. 2001). Coding gaps as either missing data or as a fifth character state had little general effect on inferred topologies in our study. Although, some indels, when coded as fifth character state, were identified as apomorphic character states for certain clades (e.g. *Bombina*, Neobatrachia).

The *de facto* down-weighting of variable aligned positions in the concatenated alignments led to higher resolution in consensus trees (see also Wheeler et al. 1995). This effect could be explained by, first, the higher number of parsimony informative characters that improve the resolution in the phylogenetic hypotheses, and secondly, the implicit weighting in concatenated alignments could reflect more appropriately the relations in substitution rates between fast- and slow-evolving sites.

In our data, resolution decreased with the exclusion of ambiguous positions (see also Cerchio and Tucker 1998; Giribet and Wheeler 1999; Lutzoni et al. 2000; Shull et al. 2001). The shortened sequences did not contain enough informative sites to maintain resolution. Different methods of recognition, delimiting and exclusion of ambiguous regions can introduce subjectivity and lead to conflicting topologies (Lutzoni et al. 2000). Yet, it is not clear to what extent the signal in highly variable regions is perturbed as a result of saturated substitutions and/or false hypothesis of primary homology.

The one-step procedure of POY provides an alternative to distance-based alignment procedures and subsequent tree search (Shull et al. 2001). Although, the method of combined analysis via optimization alignment deviates from the general principle of creating primary hypotheses of homology and subsequent independent tests of these hypotheses in phylogenetic reconstruction (De Pinna 1991; Simmons and Ochoterena 2000). Like all other current methods, POY requires the

a priori specification of alignment parameters (gap cost). Setting gap cost is subjective; models for the evolution of insertions and deletions are not available (Kluge 1999; Hancock and Vogler 2000; Simmons and Ochoterena 2000). Parsimony-based alignment programs do not test ranges of gap cost in the search for the globally most parsimonious alignment (Shull et al. 2001).

In both one-step and two-step procedures, the variation of parameters and methods for alignment and tree reconstruction lead to numerous conflicting phylogenetic hypotheses. We found no general rule of thumb for setting 'correct' gap cost. In POY, for instance, low gap cost led to more congruent nodes in taxon groups 1 and 3, but more conflicting nodes in taxon group 2.

Suitability of the sequence data

In ribosomal genes, the rate of substitution is site-specific (loops versus stems, domains of tertiary structure of rRNA molecules; Simon et al. 1994). Ribosomal genes should contain information from old splitting events in their conserved regions while fast evolving parts should be useful to resolve more recent events, e.g. intraspecific or intrageneric (Simon et al. 1994). In our study, generally better resolved topologies were obtained when fast evolving sites were included. The degree of noise (saturated sites, wrong homologies) and its mode of distribution (randomly or non-randomly; see Naylor and Brown 1998) is unknown *a priori*; yet, the phylogenetic signal in noisy data sets may be detectable. (Wenzel and Sidall 1999; Broughton et al. 2000; Simmons et al. 2001; Simmons et al. 2002). Otherwise hidden support (Cognato and Vogler 2001) can emerge from the combined analysis of nucleotide stretches with unequal rate of evolution. 'Noise' in the sense of homoplasious characters can contribute to resolution of phylogenetic hypotheses, if an adequate number of terminal taxa were included (Simmons et al. 2002), but resolving deep divergence events requires true signal unmasked by multiple substitutions (Wägele et al. 1999; Wägele and Misof 2001).

In the 12S and 16S sequences examined, genetic distance and substitution plots indicate a high degree of saturation, no matter if the highly variable positions are included or not (Figs 6, 7). Even in the most conserved sequence regions, the phylogenetic information was disturbed by multiple substitutions, as was indicated by the non-linear slope of transitions and transversions (Fig. 7). We assume that the ambiguities of primary homology assessment of large parts of the sequences and noise in the data (in relation to the taxon sample) accounted for the numerous conflicting results and the high sensitivity of the phylogenetic hypotheses to different parameter settings. Particularly, ambiguities in primary homology assessment contribute to the extensive n-dimensional space of possible solutions. The preference of particular sets of analysis parameters remains subjective. Therefore, the use of such highly divergent ribosomal sequences must be considered carefully with respect to the phylogenetic problem in question.

Although, the monophyly of the Lissamphibia and the Anura were supported in numerous analyses, our results suggest that for deep splitting events *within* the Anura the ambiguities in alignments and phylogenetic reconstruction limit the suitability of the gene fragments examined in frog phylogeny (contrary to Hedges and Maxson 1993; Hay et al. 1995; Feller and Hedges 1998). The search for topologies that resolve the basal branching pattern in anurans was sensitive to

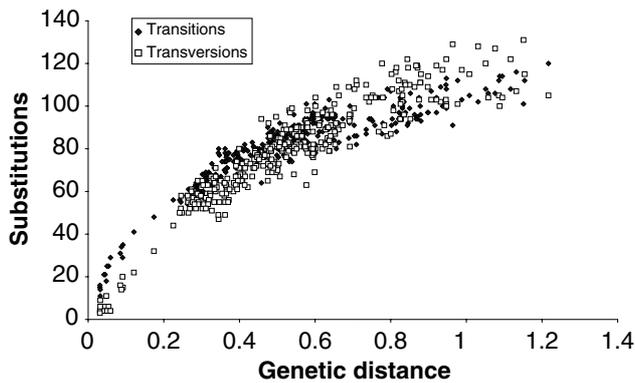


Fig. 6. Plot of pairwise genetic distances [corrected using TN model (Tamura and Nei 1993)] versus number of transitions and transversions based on CLUSTAL X CA alignment (GOP 15/GEP 5)

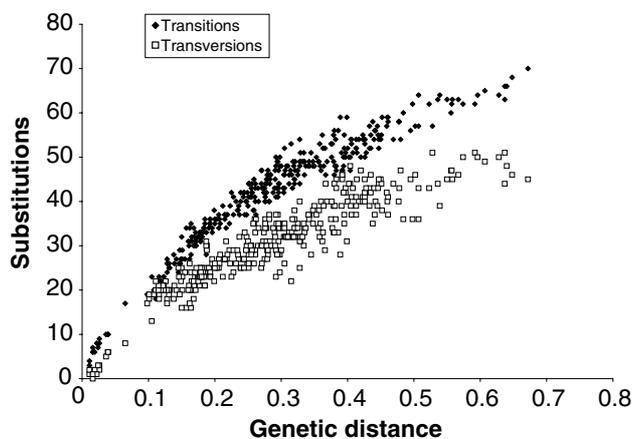


Fig. 7. Plot of pairwise genetic distances [corrected using TN model (Tamura and Nei 1993)] versus number of transitions and transversions based on CLUSTAL X alignment CE (ambiguously aligned positions excluded)

rather small changes in the conjectured homology of nucleotide positions. The limited resolving power of the data could be a consequence of high rates of evolution in both genes or different rates of substitutions between taxa (Simon et al. 1994), possibly responsible for the robust support of *Limnodynastes* + *Rana*. Moreover, it could also be an effect of long branch attraction between taxa with a long history of isolated evolution, such as *Ascaphus* (Swofford et al. 1996; Huelsenbeck 1997; Wiens and Hollingsworth 2000). Such effect is indicated by the varying position of *Ascaphus* in the space of topologies, or the clade *Sphenodon* + *Leptobranchium* in some topologies. A further cause could be a fast radiation of early anurans relative to the substitution rate of our sequences.

We found a robust hypothesis of relationships for species group 3. In the more inclusive groups 1 and 2, the phylogenetic signal seems to be weak for Mesozoic anuran cladogenesis (see also Simon et al. 1994).

Phylogenetic conclusions

The monophyly of the Anura is well supported by numerous apomorphic characters of adults and larvae (Duellman and Trueb 1986; Ford and Cannatella 1993; Haas 1997, 2001,

2003). Previous studies of molecular data corroborated this hypothesis (Hedges and Maxson 1993; Hillis et al. 1993; Hay et al. 1995; Feller and Hedges 1997). The Anura was clearly supported in nearly all of our species group 2 analyses but not in group 1 analyses, which encompassed highly divergent outgroup taxa. We could not reconstruct congruent and robust phylogenetic relationships between the major clades within the Anura. The major clades represented by our taxa likely have split long time ago and long times of separate evolution may have obscured signal.

Previous analyses of molecular data, although in some cases with low support, argued for the monophyly of the Archaeobatrachia consisting of *Ascaphus*, *Leiopelma*, *Discoglossidae*, *Pelobatidae* (*s. lato*), *Pipidae*, *Pelodytidae* and *Rhinophrynidae* (Hedges and Maxson 1993; Hay et al. 1995; Feller and Hedges 1997). Ford and Cannatella (1993); Hillis et al. (1993) and Haas (1997, 2003) identified archeobatrachians as a paraphyletic group. In our study, there was weak support in only some analyses for the Archaeobatrachia (see Tables 4 and 5). We consider 12S and 16S sequences not suitable to answer this question.

The Pipidae is a well-supported clade within the Anura (Sokol 1977; de Sá and Hillis 1990; Cannatella and de Sá 1993; Ford and Cannatella 1993; Hay et al. 1995; Feller and Hedges 1998; Haas 2003). In our analyses, the monophyly of the Pipidae was robustly supported in many analyses under a broad range of methods and parameter settings.

Numerous studies treated discoglossids as natural group (e.g. Duellman 1975; Laurent 1979; Duellman and Trueb 1986; Sanchiz 1998). *Alytes* and *Barbourula* have not been included in previous molecular studies. Nearly all possible arrangements of discoglossid genera, including paraphyly, had their advocates in previous studies (Lanza et al. 1975; Feller and Hedges, 1998; Maxson and Szymura 1979, 1984; Ford and Cannatella 1993; Hay et al. 1995). In our study, *Alytes* + *Bombina* + *Discoglossus* (monophyletic Discoglossidae) was robustly supported in many of the analyses. Our results obtained from species groups 1 and 2 were ambiguous with regard to intradiscoglossid relationships, whereas analyses of species group 3 gave robust support for a clade *Alytes* + *Bombina* within a monophyletic and robustly supported Discoglossidae. Yet, changing the outgroup composition led to conflicting hypotheses and weakened support for the monophyly of Discoglossidae and *Alytes* + *Bombina* (Table 7). The gene sequences used could not solve the case convincingly.

The Pelobatoidea traditionally includes the Pelodytidae and the Pelobatidae *s. lato* (Duellman 1975; Duellman and Trueb 1986; Ford and Cannatella 1993; Lathrop 1997; Maglia 1998; Sanchiz 1998). The Pelobatidae *s. lato* includes the Pelobatinae (*Pelobates*, *Spea*, *Scaphiopus*), Megophryinae and the extinct Eopelobatinae (Duellman and Trueb 1986; Maglia 1998; Sanchiz 1998). Overall, there was support for the monophyly of the Pelobatoidea in a number of analyses. The Pelobatidae *s. str.* containing the Eurasian genera *Pelobates* and *Leptobranchium*, however, was a well-supported clade. The North American *Scaphiopus* and the European *Pelobates* never formed a monophyletic group in our analyses, in contrast to traditional groupings. The results did not resolve the relationships within the Pelobatoidea unambiguously with regard to scaphiopodids, pelodytids and the Eurasian Pelobatidae.

The monophyly of the Neobatrachia, comprising the majority of extant frogs, is widely accepted and supported by

morphological and molecular evidence (Duellman and Trueb 1986; Ford and Cannatella 1993; Hedges and Maxson 1993; Hillis et al. 1993; Hay et al. 1995; Ruvinsky and Maxson 1996; Feller and Hedges 1998; Sanchiz 1998). Despite only two neobatrachians genera were included in the present samples, the clade *Limnodynastes* + *Rana* was robust under a wide range of conditions.

Conclusions

Owing to the complexity of alignments of highly divergent RNA sequences, the current lack of models for the evolution of indels, and the various approaches for phylogenetic reconstruction it is necessary to explore the n-dimensional space of analysis parameters and phylogenetic hypothesis. The space of parameter dependent topologies should be searched for universally supported nodes. Such procedure will lead to rather conservative hypotheses (see also Wheeler 1995; Whiting et al. 1997; Phillips et al. 2000). The search for robust phylogenetic hypotheses makes us considerably more cautious than previous workers to infer the early phylogeny of frogs from 12S to 16S ribosomal genes. Our analyses gave a heterogenous and rather complex picture of noise versus signal. Noise in the data and particularly uncertainties of primary homology necessarily produced numerous conflicting results. Only very few nodes were supported universally under a wide range of *a priori* decisions and analysis paths.

Acknowledgements

The authors are grateful to J. Reiss, K.-D. Kuehnel, S. Voitel, H.-J. Herrmann, N. Sousa, F. Glaw (ZSM), W. Böhme (ZFMK), as well as zoological gardens Stuttgart and Chemnitz for generously provided specimens used in this study. The authors are grateful to M. Goedel for his practical help with laboratory techniques. The authors thank M. Svensson, S. Erlacher, R. Beutel and two anonymous reviewers for their helpful comments on the manuscript. Part of this study was supported by Deutsche Forschungsgesellschaft grant Ha 2323/6-1 to A. Haas and NSF Grant DEB 9815787 to R.O. de Sá.

Zusammenfassung

Phylogenetisches Signal und Eignung von 12S und 16S mtDNA für die Phylogenie der Froschlurche

Zur Anwendung in einer phylogenetische Analyse müssen die ausgewählten Gene konservierte und detektierbare Information zum untersuchten phylogenetischen Niveau enthalten. Ribosomale Gene des Mitochondriums wurden für ein breites Spektrum phylogenetischer Fragestellungen bei verschiedenen Gruppen und insbesondere bei Froschlurchen eingesetzt. Wir untersuchten die Frage, ob Rekonstruktionen der Anuren-Phylogenie, basierend auf 12S und 16S rRNA Gensequenzen, plausibel sind. An einer Auswahl von 27 Arten, arrangiert in Taxa-Gruppen abgestufter Hierarchie, rekonstruierten wir phylogenetische Hypothesen unter verschiedenen, *a priori* festgelegten Bedingungen. Dazu gehörten die Auswahl verschiedener Alinierungsmethoden und—parameter, der Umgang mit variabel alinierten Positionen, die Auswahl der Algorithmen zur Baumkonstruktion sowie die Auswahl alternativer Modelle der Sequenzentwicklung. Die Methoden und Parameter der Alinierung und der Rekonstruktion, sowie die Auswahl der Taxa, hatten bedeutenden Einfluss auf die Resultate. Daraus resultierte eine große Anzahl alternativer Topologien, in denen nur sehr wenige Knoten in allen Analysen Unterstützung fanden. Ausschluss variabel alinierter Positionen ergaben Topologien mit niedrigem Grad der Auflösung. Die Sequenzen enthielten ein gewisses Signal für die Monophylie

von Discoglossidae, Pelobatoidea, Pelobatidae und Pipidae. Der Knoten Neobatrachia wurde deutlich unterstützt. Die robuste Auflösung intragenerischer Phylogenien von *Bombina* und *Discoglossus* weisen auf eine besondere Eignung der Gene für die Untersuchung junger Aufspaltungereignisse hin. Obwohl 12S und 16S rRNA-Gene eine heterogene Unterstützung für wenige frühe (mesozoische) phylogenetische Ereignisse zeigten, war das Signal nicht geeignet, um die Beziehungen der Taxa höherer Ordnung der Anura unter varierten Parametern und Analysemethoden konsistent aufzulösen.

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Appendix 1

GenBank accession numbers of all sequences examined.
AJ numbers refer to our own sequencing

Species	12S	16S
<i>Alytes muletensis</i>	AJ440758	AJ440797
<i>Alytes obstetricans</i>	AJ440759	AJ440798
<i>Ambystoma mexicanum</i>	Y10947	Y10947
<i>Ascaphus truei</i>	X86225, AJ440760	X86293, AJ440799
<i>Bombina maxima</i>	AJ440761	AJ440800
<i>Bombina bombina</i>	AJ440762	AJ440801
<i>Bombina orientalis</i>	AJ440763	AJ440802
<i>Bombina variegata</i>	AJ440764	AJ440803
<i>Discoglossus galganoi</i>	AJ440765	AJ440804
<i>Discoglossus montalentii</i>	AJ440766	AJ440805
<i>Discoglossus pictus</i>	X86235, AJ440767	AJ440806
<i>Discoglossus sardus</i>	AJ440768	AJ440807
<i>Ichthyophis bannanicus</i>	Y10949	Y10949
<i>Lepidosiren paradoxa</i>	Z48715	Z48715
<i>Leptobrachium spec.</i>	AJ440769	AJ440808
<i>Limnodynastes dorsalis</i>	AF261250	AF261268
<i>Limnodynastes peronii</i>	AJ440770	AJ440809
<i>Neoceratodus forsteri</i>	AF302933	AF302933
<i>Pelodytes caucasicus</i>	AJ440771	AJ440810
<i>Pelodytes punctatus</i>	X86236, AJ440772	AJ440811
<i>Pelobates fuscus</i>	AJ440773	AJ440812
<i>Pipa carvalhoi</i>	AJ440774	AJ440813
<i>Rana catesbeiana</i>	X12841	X12841
<i>Rana nigrovittata</i>	AJ440775	AJ440814
<i>Scaphiopus couchii</i>	AJ440776	AJ440815
<i>Sphenodon punctatus</i>	L28076	L28076
<i>Xenopus laevis</i>	M10217	M10217, AJ440816

Appendix 2

Composition of the Taxon Groups (see text for further explanation)

Group 1 (Lissamphibia, Amniota, Dipnoi)

Alytes muletensis, *Alytes obstetricans*, *Ambystoma mexicanum*, *Ascaphus truei*, *Bombina bombina*, *Bombina maxima*, *Bombina orientalis*, *Bombina variegata*, *Discoglossus galganoi*, *Discoglossus montalentii*, *Discoglossus pictus*, *Discoglossus sardus*, *Ichthyophis bannanicus*, *Lepidosiren paradoxa*, *Leptobrachium sp.*, *Limnodynastes dorsalis*, *Limnodynastes peronii*, *Neoceratodus forsteri*, *Pelobates fuscus*, *Pelodytes punctatus*, *Pelodytes caucasicus*, *Pipa carvalhoi*, *Rana catesbeiana*, *Rana nigrovittata*, *Scaphiopus couchii*, *Sphenodon punctatus*, *Xenopus laevis*.

Group 2 (Lissamphibia)

Alytes muletensis, *Alytes obstetricans*, *Ambystoma mexicanum*, *Ascaphus truei*, *Bombina bombina*, *Bombina maxima*, *Bombina orientalis*, *Bombina variegata*, *Discoglossus galganoi*, *Discoglossus montalentii*, *Discoglossus pictus*, *Discoglossus sardus*, *Ichthyophis bannanicus*, *Leptobrachium sp.*, *Limnodynastes dorsalis*, *Limnodynastes peronii*, *Pelobates fuscus*, *Pelodytes punctatus*, *Pelodytes caucasicus*, *Pipa carvalhoi*, *Rana catesbeiana*, *Rana nigrovittata*, *Scaphiopus couchii*, *Xenopus laevis*.

Group 3 (Discoglossidae + *Ascaphus*, *Pelodytes*)

Alytes muletensis, *Alytes obstetricans*, *Ascaphus truei*, *Bombina bombina*, *Bombina maxima*, *Bombina orientalis*, *Bombina variegata*, *Discoglossus galganoi*, *Discoglossus montalentii*, *Discoglossus pictus*, *Discoglossus sardus*, *Pelodytes caucasicus*.

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