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Mimicking of pulse shape-dependent learning rules with a quantum dot memristor


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We present the realization of four different learning rules with a quantum dot memristor by tuning the shape, the magnitude, and the timing of voltage pulses. The memristor displays a large maximum to minimum conductance ratio of about 57 000 at zero bias voltage. The high and low conductances correspond to different amounts of electrons localized in quantum dots, which can be successively raised or lowered by the timing and shapes of incoming voltage pulses. Modifications of the pulse shapes allow altering the conductance change in dependence on the time difference. Hence, we are able to mimic different learning processes in neural networks with a single device. In addition, the device performance under pulsed excitation is emulated combining the Landauer-Büttiker formalism with a dynamic model for the quantum dot charging, which allows explaining the whole spectrum of learning responses in terms of structural parameters that can be adjusted during fabrication, such as gating efficiencies and tunneling rates. The presented memristor may pave the way for future artificial synapses with a stimulus-dependent capability of learning. Published by AIP Publishing. [http://dx.doi.org/10.1063/1.4963830]

I. INTRODUCTION

Memristors are the fundamental passive circuit elements proposed by Chua in 1971. The fingerprint of memristors is a pinched hysteresis loop in the current-voltage-plane, showing a state-dependent conductance. The state of a memristor is determined by a previous charge flow through the device. Hence, the conductance can be precisely controlled by voltage pulses with different widths, amplitudes and shapes, which allow artificially mimicking synaptic functionalities. Synapses and the modification of their strength are crucial for learning and memory in neural networks. A model called spike-timing-dependent plasticity (STDP) relates this modification to the time difference between incoming pre- and postsynaptic action potentials, which allows to detect the coincidence of two or more input signals. Various modifications as a function of pulse timing have been reported for different synapses. For example, in hippocampal neurons, potentiation (increase) of the synapse strength is observed when the post-follows the presynaptic pulse, while depression (decrease) occurs when the pre-follows the postsynaptic pulse (asymmetric Hebbian learning). This functionality can be successfully emulated with memristors and, empirically, it is described with exponential functions. Depending on the synapse type (excitatory or inhibitory), potentiation and depression can also occur for a reversed order of the pre- and postsynaptic pulses (asymmetric anti-Hebbian learning). The symmetric Hebbian and symmetric anti-Hebbian learning rules allow potentiation or depression to occur irrespectively of the relative timing of pre- and postsynaptic pulses. Recently, it was found that pattern completion in network models is most effective for symmetric learning rules. The different types of learning essentially depend on the synapse type and/or the computational task. Hence, the symmetric and asymmetric learning rules are beneficial for pattern completion and the recalling and storing of temporal sequences of action potentials, respectively. The four different learning rules were artificially emulated by varying electrical input signals in chalcogenide and metal oxide memristors and by varying optical input signals of metal-sulphide microfibers.

We present the emulation of four learning rules with a quantum dot (QD) memristor, where the conductance change corresponds to charge transfer between quantum dots (QDs) and a two-dimensional electron gas (2-DEG). The localized charge in the QDs can be controlled by tuning shapes, magnitudes and timing of voltage pulses. The large ratio of maximum to minimum conductance of 57 000 at zero bias voltage provides high sensitivity and efficiency and allows reducing the relative effects of undesirable readout noise. A model describing the device performance and the charging and discharging processes when applying pulses within a critical voltage and time window is introduced. Hence, the conductance modification can be correlated with device parameters, such as gate efficiencies and critical voltages for charging and discharging.
II. DEVICE CHARACTERISTICS

An electron microscope image of the device with the corresponding circuit diagram is shown in Fig. 1(a). A GaAs/AlGaAs heterostructure is grown by molecular beam epitaxy with site-controlled QDs positioned in a narrow channel. A detailed description of the fabrication techniques is given in Ref. 34. Connecting the drain contact with lateral gates provides the memristive operation.35–37 The pre- (\(V_{pr}\)) and postsynaptic (\(V_{ps}\)) voltage pulses are applied to the drain and source contacts and emulate the input signals of pre- and postsynaptic neurons, respectively. A resistance with 1 M\(\Omega\) is used in series to the channel, and the measurements are conducted at 4.2 K in the dark. The current-voltage-characteristic in Fig. 1(b) shows a pinched hysteresis loop with memductances of \(G_h=0.8\) and \(G_1=1.4 \times 10^{-3} \mu\text{S}\) around zero bias voltage. The Coulomb interaction of localized electrons with the nearby wire leads to the memductance ratio of around 57000.36 Thus, the state variable of the present device corresponds to the amount of localized electrons.38

For voltage differences between the two terminals \(\Delta V = V_{pr} - V_{ps}\) that exceed the threshold voltages for charging \(V_c \approx -1.9\) V and discharging \(V_d \approx 3.9\) V, the amount of charges is raised and lowered, respectively.39 The switching between high and low conductances (see Fig. 1(b)) is comparable to other memristor realizations, e.g., the Al\(_2\)O\(_3\)/TiO\(_2\) memristors reported in Ref. 32. The steep current increase at \(V_d\) occurs due to a fast discharging mechanism, while below \(V_d\) the device operates in a slow discharging regime of the QDs. The two discharging regimes (above and below \(V_d\)) have different timescales and are beneficial to perform arithmetic operations in tunable bases with more gradual conductance changes occurring for voltage pulses slightly below \(V_d\).39

III. PULSE SHAPE-DEPENDENT STDP

Fig. 1(c) shows the voltage pulses that are required and used to emulate the four learning rules and applied to the drain (red) and source (blue) contacts. The corresponding voltage differences between the pre- and postsynaptic pulses for positive time differences (\(\Delta t_p > 0\)) are illustrated in Fig. 1(d). For different shapes of the pulses, the threshold voltages for charging or discharging can be exceeded. Emulating asymmetric Hebbian and anti-Hebbian learning is realized with pulses consisting of a positive and a negative amplitude. Shapes with amplitudes of different polarities with respect to the resting potential (zero for the presented shapes) are also observed in the biological systems.30

The shape with positive and negative spikes allows controlling the voltage across the memristor solely by varying the time difference \(\Delta t_p\) between the pulses. Similar pulses were used to emulate asymmetric Hebbian learning with other memristor realizations.36,38 Different pulse shapes are applied to investigate the emulation of input-dependent learning. Note that the pulses to mimic symmetric learning rules are symmetric in time; thus charging and discharging the QDs should not depend on the temporal order of the pulses but on the absolute value of the time difference. The width of the pulses is 10 ms and the amplitudes are listed in Table I. All pulse pairs are followed by a read-out pulse to determine the conductance of the device. The implementation of the learning rules with different pulse shapes is motivated by the biological systems, where varying shapes carry information about stimulus history41 or can be used to encode information42 or to classify neurons.43

Fig. 2(a) shows the conductance \(G\) versus pulse number \(N\) for different \(\Delta t_p\) and the pulses that emulate asymmetric Hebbian learning (see Fig. 1(c)). Before the measurements, the system is set to the same initial conductance \(G(N=0) = G_0 \approx 1.0 \mu\text{S}\) that corresponds to a specific amount \(n_0\) of charges in the QDs. Tuning the time difference allows to increase or decrease the conductance by discharging the QDs for \(\Delta t_p = +2.4\) ms and charging the QDs for \(\Delta t_p = -4.0\) ms, respectively. In Fig. 1(d), the voltage difference for the considered pulses exceeds \(V_d\) for positive time difference leading to the discharging.39 For negative time differences, \(|\Delta V| > V_c\).

<table>
<thead>
<tr>
<th>Learning rule</th>
<th>(V_{pr}) (V)</th>
<th>(V_{ps}) (V)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Asymmetric Hebbian</td>
<td>-3.0, +4.2</td>
<td>-2.0, +2.0</td>
</tr>
<tr>
<td>Asymmetric anti-Hebbian</td>
<td>+4.2, -2.8</td>
<td>+2.0, -2.0</td>
</tr>
<tr>
<td>Symmetric Hebbian</td>
<td>-3.8, +3.8, -3.7</td>
<td>-2.0</td>
</tr>
<tr>
<td>Symmetric anti-Hebbian</td>
<td>-2.4</td>
<td>+2.4</td>
</tr>
</tbody>
</table>
Fig. 2(b) depicts the conductance versus $N$ for different negative time differences and the same experimental configuration as in Fig. 2(a). The conductance after 10 pulses is lower for larger time differences. Thus, the state variable for $N = 10$ is controlled by the time difference between pre- and postsynaptic pulses. During programming (QD charging for negative time difference), the voltage difference across the memristor controls the maximum number of localized electrons in the QDs. In the range between $-4.4$ and $-2.0$ ms, the minimum value of $\Delta V$ is lowered for larger time differences and consequently more electrons can be localized. The conductance after 10 pulses is changed. (c) Conductance after 10 pulses versus $\Delta t_p$. For $\Delta t_p < 2.5$ ms, the conductance depends sensitively on the time difference. The horizontal lines indicate eight different levels that may be stored by tuning $\Delta t_p$ in step sizes of 0.2 ms.

Fig. 3(a) displays the relative conductance change $\Delta G = (G_1 - G_0)/G_0$ under the asymmetric Hebbian learning configuration (see Fig. 1(c)) corresponding to the data in Fig. 2(a), with $G_1$ being the conductance after the first pulse. For a pulse separation of more than five milliseconds, the relative conductance change is zero. Note that the critical time window for conductance modifications ranges from $-4$ to $+2$ ms. For small $|\Delta t_p|$, $G$ is enhanced for positive and lowered for negative time differences. An inversion of the voltage pulses in combination with larger negative amplitude of the presynaptic pulse of $-2.8$ V corresponds to the asymmetric anti-Hebbian learning configuration (see Fig. 1(c)) and leads to positive and negative values of $\Delta G$ for $\Delta t_p < 0$ and $\Delta t_p > 0$, respectively, as depicted in Fig. 3(b). The voltage difference across the device for positive $\Delta t_p$ is displayed in Fig. 1(d) and, under the asymmetric anti-Hebbian learning configuration, exceeds the threshold voltage for charging. In turn, for negative time differences (not shown in Fig. 1(d)), $\Delta V$ exceeds $V_d$.

So far, the $\Delta G$ vs $\Delta t_p$ dependencies that emulate asymmetric learning rules show transitions from depression to potentiation when inverting the temporal order of the pulses. To mimic symmetric learning rules, which are independent on the temporal order (symmetric Hebbian and symmetric anti-Hebbian learning), time-symmetric pulses, as displayed in Fig. 1(c), are applied. The relative conductance change in Fig. 3(c) is positive around zero and negative for large values of $|\Delta t_p|$. Thus, the conductance change depends exclusively on the time difference between the pulses and not on the order of their arrival. In neuroscience, the comparable observations of the synaptic strength versus $\Delta t_p$ are described by the symmetric Hebbian learning rule and were observed in GABAergic synapses. In turn, applying the pulses sketched in the inset of Fig. 3(d), the relative conductance change is negative for small time differences and zero for large magnitudes of $\Delta t_p$.

The amplitudes of the voltage pulses in Fig. 1(c) are tuned in a way to realize large absolute conductance changes.
for small time differences. This enables the emulation of fast learning processes (only small amounts of repetitions are required to enhance the conductance). To emulate more subtle changes of synaptic strength, the voltage difference between the two pulses can be tuned slightly above or below the threshold voltages for charging and discharging, which allows the gradual increase or decrease of the conductance under a sequence of hundreds of pulses. With the experimental results presented in Fig. 3, the device is suitable to emulate different learning rules in dependence on the input signals (stimulus). The electronic properties of the device further allow simulating the signal transduction governed by the QD charge in a comprehensive way.

IV. MODELLING OF LEARNING RULES

Applying the voltage difference $\Delta V$ to the memristor, the current can be determined within the Landauer-Büttiker formalism that assumes

$$I(\Delta V) = \frac{e}{2\pi} \int_{-\infty}^{\infty} v_n [H(v_n) f_{FD}(E, \mu) + H(-v_n) f_{FD}(E, \mu)] dk$$

with $\mu_{pp} - \mu_{po} = e \Delta V$, $v_n = 1/h(\partial E/\partial k)$, the elementary charge $e$, the Fermi-Dirac-distribution $f_{FD}$, the step function $H$, and $E = E^0 + \frac{k^2}{2m^*}$, where $k$ denotes the electron wave vector and $m^*$ is the electron effective mass. In the limit of low voltage differences, $\mu_{pp} \approx \mu_{po} \equiv \mu$, the current for the electrical configuration in Fig. 1(a) can be approximated by

$$I = \frac{e^2}{2\pi h} f_{FD}(E, \mu)(\Delta V - I \cdot R).$$

Thus, the conductance is reduced to

$$G(n, \mu) = \left(\frac{e^2}{2\pi h}\right)\left(\frac{\exp\left[\frac{E_i(n) - \mu}{kT}\right]}{1 + R \frac{e^2}{2\pi h} \left(\exp\left[\frac{E_i(n) - \mu}{kT}\right] + 1\right)^{-1}}\right).$$

$T$ is the temperature and $R$ the resistance in series with the wire. The transverse subband energies $E_i(n) = E^0 + \gamma n + \eta \Delta V$ are determined by the efficiencies, $\gamma$ and $\eta$, and by the number, $n$, of electrons in the QDs. The rate equation determining the QD charge is given by

$$\frac{dn}{dt} = \begin{cases} -\alpha_c \Delta V & \text{for } \Delta V < V_c < 0 \\ -\alpha_d \Delta V n & \text{for } \Delta V > V_d > 0. \end{cases}$$

Here, $\alpha_c$ and $\alpha_d$ are the efficiencies that control the QD charging and discharging, respectively. These efficiencies depend on device parameters as the gate wire distance and the tunneling distance.\(^{47,48}\) Thus, when the QDs become charged, starting from an initial charge $n_0$, the number of electrons is determined by

$$n = n_0 - \alpha_c \int (\Delta V - V_c) dt$$

according to the first line of Eq. (4). For discharging processes, the number of localized electrons follows from the second line of Eq. (4) with

$$n = n_0 \exp\left[-\alpha_d \int (\Delta V - V_d) dt\right].$$

The QD-localized charge is mainly governed by the shape of the applied pulses. Note that the active part of pulse combination that controls either the charging or discharging in Eqs. (5) and (6) is determined by the pulse action defined as $S_{c(d)} = \int (\Delta V(\Delta t) - V_{c(d)}) dt$, corresponding to the shaded areas in Fig. 1(d).

The theoretical relative conductance change as a function of $\Delta t_0$ is displayed in Fig. 4. The four panels are arranged in the same sequence as Fig. 3 and are obtained by using exactly the same input pulses as in the experiments. Simulations with the pulses shown in Fig. 1(c) lead to $\Delta G$ vs $\Delta t_0$ dependencies that enable the emulation of asymmetric Hebbian learning in Fig. 4(a), asymmetric anti-Hebbian learning in Fig. 4(b), symmetric Hebbian learning in Fig. 4(c), and symmetric anti-Hebbian learning in Fig. 4(d). Note in Figs. 4(a) and 4(b) that the model predicts non-zero relative conductance changes for $|\Delta t_0| > 5$ ms. Here, the presynaptic pulse is sufficient to charge the QDs because its amplitude exceeds $|V_c|$. In Fig. 4(c), the limit $\Delta G \to -1$ for large $|\Delta t_0|$ corresponds to totally charged QDs that reduce $G$ to zero. The slight asymmetry of positive $\Delta G$, when inverting the temporal order, is explained by the non-commutativity of charging and discharging in Eq. (4). This is also evident in the experimental results (see Fig. 3(c)). The asymmetry of the $\Delta G$-$\Delta t_0$ curve in Fig. 4(c) with respect to $\Delta t_0$ originates from the asymmetry of the charging and discharging processes due to their different time scales. In Fig. 3(c), both the charging and discharging processes occur

![FIG. 4. Simulation of the relative conductance change versus time difference. The figure is arranged in analogy to Fig. 3. The corresponding pulse shapes for the panels (a) to (d) are shown from left to right in Fig. 1(c). Panels (a) and (b) show the asymmetric and (c) and (d) the symmetric learning rules. The resilience is investigated by tuning the efficiency for charging.](image-url)
within a single pulse sequence, which, due to their non-commutativity, leads to slight asymmetric \( \Delta G \)-vs-\( \Delta t_p \)-curves with respect to \( \Delta t_p \).

Modelling the device performance for different \( z_c \) allows correlating the conductance change with the device layout. Smaller efficiencies for charging can be realized by increasing the tunneling distance or the gate wire distance. The \( \Delta G \) vs \( \Delta t_p \) dependencies in Fig. 4 show that charging is boosted for enhanced \( z_c \), leading to larger time intervals for charging. In addition, the time window for discharging in Fig. 4(c) is reduced for enhanced \( z_c \). Thus, tuning the device geometry, e.g., the gate wire distance, enables the control of the time windows for conductance modifications, which may be beneficial to realize artificial synapses with different sensitivities regarding the time difference. For small gate wire distances, the conductance can only be tuned within a narrow time window allowing the implementation of high specialization and selectivity. The larger gate wire distances lead to broader time windows for learning and hence a large spectrum of time differences tunes the conductance.

The presented model further allows assessing the \( \Delta G \) vs \( \Delta t_p \) dependence in terms of the pulse shapes. According to Eq. (3), the conductance can be expressed in general terms as 
\[
G(n) = \frac{A \cdot \exp(B \cdot n + \rho)}{1 + \exp(B \cdot n)}
\]
thus \( \Delta G(n) = \frac{A \cdot \exp(B \cdot n + \rho)}{1 + \exp(B \cdot n)} \) (7), where \( A, B \) and \( \rho \) are fixed parameters defined by the system configuration (e.g., subband energies, gate efficiencies, temperature). When discharging the QDs, in the limit of low values of \( n \), \( \Delta G \) can be approximated by
\[
\Delta G(n, \Delta t_p) \rightarrow \Delta G_d(n, \Delta t_p) = \frac{AB}{A + \rho} \left[ n_0 - n \right] > 0.
\]
In turn, for charging, in the limit of large values of \( n \), the relative conductance tends to
\[
\Delta G(n, \Delta t_p) \rightarrow \Delta G_c(n, \Delta t_p) = \exp(B(n_0 - n)) - 1 \]
\[
= \exp(-B z_c S_c(\Delta t_p)) - 1 < 0.
\]

All the information of the pulse shape is contained in either \( S_c \) or \( S_d \). For the pulse shapes used in this analysis, the pulse action for both charging and discharging can be well described up to second order in \( \Delta t_p \) as \( z_{c(d)} S_{c(d)} \sim \sum_{i=0}^{2} a_i \Delta t_p^i \). The experimental data in Fig. 3 are fitted according to the exponential laws obtained in Eq. (7), with \( \sum_{i=0}^{2} a_i = 3 \), and Eq. (8), for positive and negative values of \( \Delta G \), respectively. The expressions used for the corresponding pulse actions are listed in Table II. The exponential \( \Delta G \) vs \( \Delta t_p \) dependencies as observed in Figs. 3(a) and 3(b) were also determined in the hippocampal neurons. The expression used in Fig. 3(c) is comparable to the one used in Ref. 28 to empirically describe the symmetric Hebbian learning rule. Note in this case that according to Eq. (8), for \( |\Delta t_p| \gg 0, \Delta G_c \rightarrow -1 \). The small discrepancy with the experiment in this limit is ascribed to unavoidable leakage (partial discharge) during the charging process. The data in Fig. 3(d) are fitted according to one exponential function and represent the symmetric anti-Hebbian learning rule. The exponential fit functions include the actions \( S_d \) and \( S_c \) in Eqs. (7) and (8), respectively, and hence explicitly relate the relative conductance changes with the pulse shapes.

### V. DISCUSSION

The presented data demonstrate the ability to realize pulse shape-dependent learning rules based on the mature III–V-semiconductor platform. It is worth noting that the low operation temperature of the device corresponds to the small energetic confinement of the electrons in the QDs, which is about 0.4 eV. Because of this confinement, the maximum operation temperature of the device is 165 K, as was reported in Ref. 36. The room temperature operation may be realized by tuning the material compositions of the QDs and the surrounding layers. Hence, for the desired room temperature operation, devices based on other material compositions (different Al contents, etc.) need to be designed, fabricated and tested. However, the presented results are expected to be directly transferable. Pulse shape-dependent learning rules were also obtained in Refs. 30 and 31 with a chalcogenide memristor that has the advantage of short time windows for learning. In contrast to the previous proposals, the presented device is based on the mature III–V semiconductor platform that enables optical conductance control with low power light pulses.

The memductance state can be controlled either by optical or electrical pulses or by the combinations of both, which allows the integration with photodetectors as sensory neurons. The conductance control is further sensitive to the wavelength of incoming light, which was also demonstrated with other memristors and memcapacitors, and enables encoding information in the wavelength. For the present device, the light sensitivity leads to varying learning processes in the dark and under illumination, which is the key advantage compared to other memristor realization with large on/off ratios of up to 10^{12}. Low switching times in the sub-nanosecond range or high endurance (10^{12} cycles). More complex functionalities as recognition and classification tasks were performed with memristor crossbars that offer high scalability. The scalability of quantum wires as a key element of the presented memristor was demonstrated with the realization of a full adder.

In Ref. 39, the relative conductance change \( \Delta G/G_0 \) of the present device for the asymmetric Hebbian learning rule was found to be independent on \( G_0 \) for depression but shows a maximum at medium \( G_0 \) conductance values for potentiation. A dependency of the learning rules on the initial...
conductance was also presented for an Al2O3/TiO2-x memristor in Ref. 32. Finally, the present device allows controlling the time window for conductance modifications by tuning the device layout. In Eqs. (7) and (8), the relative conductance changes tend faster to zero for larger charging and discharging efficiencies, which may be exploited to realize artificial synapses for high specialization (narrow time window for learning). Analogous to synaptic strength in neural networks, the presented pulse shape-dependent learning rules may pave the way to the realization of activity-dependent learning with a single device in the future artificial neural networks.

VI. CONCLUSION

In summary, we are able to artificially emulate four learning rules of neural networks with a quantum dot memristor. Analogous to synaptic strength in neural networks, the conductance is controlled by changing the time difference between pre- and postsynaptic voltage pulses. The conductance of the device is tuned by localizing electrons in quantum dots, which depends sensitively on the shape, magnitude and timing of pre- and postsynaptic voltage pulses. The presented pulse shape-dependent learning rules may pave the way to the realization of activity-dependent learning with a single device in the future artificial neural networks.

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